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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/032,518 | 10/27/2001 | Steven Lurie | 4704P021 |

08791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025



CONFIRMATION NO. 6881

FORMALITIES LETTER



Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
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An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

Adjustment date: 06/11/2002 MTEKLENI
03/14/2002 BABRAHA1 00000001 022666 10032518
01 FC:103 450.00 CR
02 FC:102 336.00 CR

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2002 BABRAHA1 00000001 022666 10032518
01 FC:103 450.00 CH
02 FC:102 336.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

STEVEN LURIE

Application No.: 10/032,518

Filed: December 27, 2001 *

For: **AN APPARATUS AND METHOD FOR
SCHEDULING LIVE ADVICE
COMMUNICATION WITH A SELECTED
SERVICE PROVIDER**



COPY OF PAPERS
ORIGINALLY FILED

Assistant Commissioner for Patents
Attention: **Box Missing Parts**
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed January 22, 2002, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: February 26, 2002

Joseph Lutz, Reg. No. 43,765

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: February 26, 2002

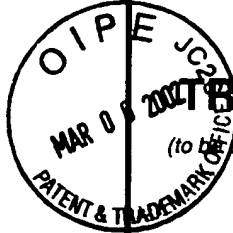
Marilyn Bass

02/26/02

Date

* Stamp 12/27/01 on
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| | | | |
|---|---|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 10/032,518 |
| | | Filing Date | December 27, 2001 |
| | | First Named Inventor | Steven Lurie |
| | | Group Art Unit | Unknown |
| | | Examiner Name | Unknown |
| Total Number of Pages in This Submission | 9 | Attorney Docket Number | 4704P021 |

stamped
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PTO

| ENCLOSURES (check all that apply) | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Return receipt postcard</div> |
| Remarks Missing Parts includes Response to Notice of Missing Parts (1 pg), signed Decl/POA (4 pg) and Notice to File Missing Parts (1 pg) | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Joseph Lutz, Reg. No. 43,765 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature | |
| Date | February 26, 2002 |

| CERTIFICATE OF MAILING (OR TRANSMISSION) | | | |
|--|--------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: February 26, 2002 | | | |
| Typed or printed name | Marilyn Bass | | |
| Signature | | Date | 02/26/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 916.00**Complete if Known**

| | |
|------------------------|--|
| Application Number | 10/032,518 |
| Filing Date | 12/27/01 <i>Stamped 10/27/01 on p3-stc-ave</i> |
| First Named Inventor | Steven Lurie |
| Examiner Name | Unknown |
| Group Art Unit | Unknown |
| Attorney Docket Number | 4704P021 |

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit Account Number
Deposit Account Name

02-2666

Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account**FEE CALCULATION****1. FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|-----------|
| 45 - 45** = | 25 | X 18.00 = | \$450.00 |
| Independent Claims | 7 - 7** = | 4 | X 84.00 = |
| Multiple Dependent Claims | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|---|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple Dependent claim |
| 109 84 | 209 42 | **Reissue independent claims over original patent |
| 110 18 | 210 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

786.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | 130 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 920 | 112 920 | Requesting publication of SIR prior to Examiner action | |
| 113 1,840 | 113 1,840 | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for response within first month | |
| 116 400 | 216 200 | Extension for response within second month | |
| 117 920 | 217 460 | Extension for response within third month | |
| 118 1,440 | 218 720 | Extension for response within fourth month | |
| 128 1,960 | 228 980 | Extension for response within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidably | |
| 141 1,280 | 241 640 | Petition to revive - unintentionally | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Petitions related to provisional applications | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

SUBMITTED BY

Complete (if applicable)

| | | | | |
|-----------------------|------------------------------|-----------------------------------|-----------|----------------|
| Typed or Printed Name | Joseph Lutz, Reg. No. 43,765 | Registration No. (Attorney/Agent) | Telephone | (310) 207-3800 |
| Signature | | Date | 02/26/02 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.COPY OF PAPERS
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12400 WILSHIRE BOULEVARD
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LOS ANGELES, CA 90025-1026

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DENVER / ENGLEWOOD, CO**FACSIMILE TRANSMITTAL SHEET**

Deliver To: Valerie Kinard - OIPE
Company: United States Patent and Trademark Office
Fax No.: (703) 308-7749
From: Joseph Lutz
Date: January 15, 2002
Your Reference: 10/032,518
Number of Pages: 03 (Including Cover Sheet)
Operator: Marilyn Bass
Our Reference: 004704.P021

SUBJECT: Serial No. 10/032,518**REMARKS:** PLEASE SEE ATTACHED EXPRESS MAIL RECEIPT AND
POSTCARD.Per our conversation -- Express Mail Receipt indicates date of December
27, 2001 (12/27/01), however postcard was stamped with 10/27/01 date
(October). Please correct.

Thank you for your assistance.

CONFIDENTIALITY NOTE: The documents accompanying this facsimile transmission contain information from the law firm of Blakely, Sokoloff, Taylor & Zafman which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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WASHINGTON DC 20231-0001

Box Patent Application

FROM: (PLEASE PRINT)

ELAKELY SKOLOFF TAYLOR &
ZAFMAN LLP
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STATUS DB-LA

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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Serial/Parent No.: _____
Client: Keen.com
Title: An atm for scheduling live advice communication with a selected service provider
BSTZ File No.: 004704 P021
Date Mailed: 12/27/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

| | |
|---|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Express Mail No. <u>EL651 81308305</u> Check No. <u>20479</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (In triplicate) | <input type="checkbox"/> Month(s) Extension of Time _____ Amt. <u>1526.00</u> |
| <input checked="" type="checkbox"/> Application - Utility <u>47</u> pgs., with cover and abstract | <input type="checkbox"/> Information Disclosure Statement & PTO 14-0 (____ pgs.) |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time _____ |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard _____ |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) |
| <input type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input type="checkbox"/> Declaration & POA (____ pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business |
| <input type="checkbox"/> Disclosure Docs & Qig & Copy of Invention Signed Later (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, <u>undeposited</u> |
| <input checked="" type="checkbox"/> Drawings: <u>37</u> # of sheets includes <u>48</u> figures | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate |
| <input type="checkbox"/> Other: _____ | |

11002 U.S. PTO
10/032518
12/27/01

Client Name Keen.com

Due date 4/27/2002

Client Name Keen.com